

CONFLICT OF INTEREST POLICY

POLICY

United Way Bay Area's (UWBA) representatives, whether employees or volunteers, **will not** engage in any activity, practice or act which conflicts with or appears to conflict with the interests of UWBA. UWBA representatives **shall not** be involved in activities which adversely affect UWBA's reputation or relations with others, or which interfere with the fulfillment of its mission. Neither employees or volunteers shall use their position or influence or information to which they have access to as a result of their association or any assets or resources of UWBA for their own personal gain, for trading, or for the improper benefit of others.

This policy is not intended to inhibit, prevent, or discourage UWBA representatives from continuing to actively participate in impartial and unbiased decision-making and policy setting.

SCOPE

This policy applies to on and off-the-job activities of all UWBA employees and to volunteers when they are performing functions related to UWBA, or when acting on behalf of UWBA.

PROCEDURES

1. It is not possible to list every circumstance that could violate this policy. The following is provided as a guide to the types of employee/volunteer activities that could create either actual violations or perceptions of violations.
 - a. Conduct, which is either directly or indirectly disruptive, competitive or damaging to UWBA.
 - b. Directing or acting in a manner intended to influence decision-making on UWBA business to organizations or businesses in which they or a family member have a financial or personal interest.
 - c. Directly or indirectly influencing the allocation of funds based on a personal or family interest in or affiliation to specific agencies.
 - d. Accepting travel, a loan, or cash in any amount, excessive entertainment, services, favored treatment, or substantial or unusual accommodation of any nature or a gift of more than nominal value (\$100 or above) from any person or concern, which does or is seeking to do business with UWBA. Employees and volunteers should determine either by themselves or with the advice of their supervisor the most gracious method of declining such gifts, entertainments or other benefits.*

*The prohibition is not intended to apply to gifts, entertainment and/or other benefits of nominal value that clearly are in keeping with good business practices and ethics and which do not obligate the recipient.

- e. Using or disclosing sensitive or confidential information in a manner that might be prejudicial to the best interests of UWBA, or disclosing non-public information regarding persons or firms doing business with UWBA.
- f. Using UWBA's name, time, property or information (sensitive or confidential) in making personal investments or financial transactions.
- g. Acting in a manner that might cause others to reasonably believe that the action is using "inside" information for their own personal profit or the profit of friends, relatives or others.
- h. Performing compensated services for or having a beneficial interest in, or being substantially obligated to (or a similar relationship for any family member or close relative), any of UWBA's suppliers of goods or services or any other organization doing business with UWBA unless such fact has been properly disclosed to UWBA's CEO and UWBA's Board, and such relationship has been specifically approved by the Board on the basis of a full disclosure of the facts.

Approved by the Board of Directors - May 19, 1994
Revised and approved by Board of Directors – March 2003
Renewed annually each fiscal year by Board of Directors – 2004-17

I HAVE READ AND FULLY UNDERSTAND AND AGREE TO ABIDE BY THIS
STATEMENT OF UNITED WAY BAY AREA'S CONFLICT OF INTEREST POLICY.

DATE: _____, 20_____

SIGNATURE: _____

PRINT NAME: _____